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PTO/SB/30 (8/2000)

Approved for use through 10/31/2002 OMB 0651-0031

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## REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of a utility or plant application  
filed on or after June 8, 1995,  
See the American Inventors Protection Act of 1999 (AIPA).

Application Number	10/052,234
Filing Date	January 16, 2002
First Named Inventor	Duc Chau
Group Art Unit	2823
Examiner Name	J. Garcia
Attorney Docket Number	11948.3

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.  
**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of an RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE Practice.

### 1. Submission required under 37 C.F.R. § 1.114.

#### a. ☐ Previously submitted

- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed \_\_\_\_\_ on \_\_\_\_\_
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_

#### b. ☒ Enclosed

- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

### 2. Miscellaneous

#### a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)

#### b. ☐ Other \_\_\_\_\_

### 3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

#### a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-0843

- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e) | 08/04/2004 AWONDAF1 00000014 500843 10052234
- ii. ☒ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17) | 01 FC:1801 770.00 DA
- iii. ☐ Other \_\_\_\_\_

#### b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

#### c. ☐ Payment by credit card (Form PTO-2038 enclosed)

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print / Type)	Kenneth E. Horton	Registration No. (Attorney / Agent)	39,481
Signature		Date	July 29, 2004

### CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Name (Print / Type)	JoAnn Bawden		
Signature		Date	July 29, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

are Patent Application:  
Chau et al.

Serial No.: 10/052,234

Filed: January 16, 2002

For: SELF-ALIGNED TRENCH MOSFETS  
AND METHODS FOR MAKING THE SAME

Confirmation No. 9589

Group Art Unit: 2823

Examiner: J. Garcia

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**AMENDMENT UNDER 37 C.F.R. § 1.114**

In response to the final Office Action dated January 29, 2004, and in light of the Request for Continued Examination filed herewith, Applicant requests reconsideration of this application in light of the following amendments and remarks. A petition for a three-month extension of time is filed herewith to extend the period of response until July 29, 2004.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450 Alexandria,  
VA 22313-1450, on this 29<sup>th</sup> Day of July, 2004.

Signed: \_\_\_\_\_

7/29/2004